

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

JAMES CONTANT, MARTIN-HAN TRAN,
CARLOS GONZALEZ, UGNIUS MATKUS,
JERRY JACOBSON, and PAUL
VERMILLION, on behalf of themselves and
all others similarly situated,

Plaintiffs,

v.

BANK OF AMERICA CORPORATION, *et*
al.,

Defendants.

Case No. 1:17-cv-03139-LGS

ECF CASE

RULE 7.1 DISCLOSURE

Pursuant to Fed. Rule Civ. P. 7.1, HSBC Holdings PLC, HSBC Bank PLC, HSBC North America Inc., HSBC Bank USA, N.A. and HSBC Securities (USA) Inc. disclose that: (1) HSBC Bank plc, HSBC North America Inc., HSBC Bank USA, N.A. and HSBC Securities (USA) Inc.'s ultimate parent company is HSBC Holdings, plc; and (2) HSBC Holdings plc has no parent company and its shares are publicly traded.

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